



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/883,449	06/18/2001	Nai-Yin Sung	TS00-415	2688

28112 7590 03/19/2004
GEORGE O. SAILE & ASSOCIATES
28 DAVIS AVENUE
POUGHKEEPSIE, NY 12603

EXAMINER

TRIMMINGS, JOHN P

ART UNIT	PAPER NUMBER
----------	--------------

2133

DATE MAILED: 03/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/883,449

Applicant(s)

SUNG ET AL.

Examiner

John P Trimmings

Art Unit

2133

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 20 January 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6,8-18 and 20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6,8-18 and 20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

This office action is in response to applicant's amendment of 1/20/2004.

The examiner has acknowledged the applicant's changes in the disclosure.

The examiner acknowledges cancellation of Claims 7 and 19.

The examiner has accepted the drawings filed on 6/18/2000.

The examiner acknowledges the amendments to Claims 1 and 13, however, due to the indefiniteness of the amendment to these claims, the examiner had rejected them as per 35 USC 112(2) as follows:

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

1. Claims 1, 8 and 13 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. In regards to Claim 1, page 14 of the applicant's amendment adds the limitation, "de-scrambling said set of faults" (line 19) in antecedent reference to page 13 line 4 ("Introducing a set of faults into an imbedded memory"). However, in the next line (page 14 line 21), a comparison is made to "said set of faults" (the set of faults of page 14 line 4). It is apparent to the examiner that the comparison reference could be to the set of faults prior to de-scrambling (signal EFAULTS 81 in FIG.4), or the de-scrambled faults (signal EFAULTS_D 89 in FIG.4), and so the claim is indefinite in this matter. The examiner believes that there is a strong

Art Unit: 2133

distinction between the two signals, and based on an assumption of the former condition being the case, the claim fails to point out and distinctly claim the subject matter, namely that de-scrambled fault data is compared to the fault output. And, as per Claims 8 and 13, these claims are similarly rejected under the same premise as in Claim 1, in that there is indefiniteness in view of de-scrambling faults and comparing to the set of faults.

Response to Amendment

Re: Claim Rejections - 35 USC § 102

The applicant has requested reconsideration of Claims 1-4 and 13-17 in view of an amendment to Claims 1 and 13. The examiner agrees with the applicant in that Lu teaches all of Claim 1 except de-scrambling the set of faults, and so based on 35 USC 102, the claims are being reconsidered by the examiner, and the applicant's argument is accepted. However, the examiner believes that based on 35 USC 103, the same claims are subject to rejection in the following paragraphs below.

Re: Claim Rejections - 35 USC § 103

The applicant has requested reconsideration of Claims 5-12 and 18-20, with Claims 7 and 13 being cancelled. The examiner, in view of the amendments submitted, and the change of scope therein, is reconsidering the named claims in the following paragraphs based on 35 USC 103.

Art Unit: 2133

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

In view of the amendment by the applicant, the examiner has reconsidered the Claims 1-6, 8-18, and 20 based on 35 USC § 103, as follows:

2. Claims 1-4, 6, 8-11, 13-16 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bo Lu, U.S. Patent No. 6012157, and in view of Takahashi Ohsawa, U.S. Patent No. 5748641.

As per Claims 1 and 13:

The applicant and the examiner agree that the subject amendment of Lu teaches all aspects of Claims 1 and 13 except for de-scrambling the set of faults. And, the applicant's subject claims do specify a de-scrambler, but due to the indefiniteness of Claims 1 and 13, the de-scrambler apparently has no function within the claim as it

Art Unit: 2133

stands at this time. And so, because of the indefiniteness of the claims, the examiner feels that reference to a scrambler be taken based on analogous art, even though the function of such a de-scrambler cannot be definitely determined. The emulation method and apparatus taught in Lu specifies a system which introduces known fault data into a RAM system model..." and "...simulating the performance of the RAM memory and the RAM BIST..." (Abstract and column 5 lines 1-21). Lu teaches in the Abstract and column 6 lines 45-48 an "apparatus for verifying the effectiveness of a RAM BIST..." by "...introducing known fault data into a RAM system model..." and "...simulating the performance of the RAM memory and the RAM BIST...". In column 3 lines 28-38 of Lu, it completely describes the embodiment of the fault data as having three parts; (1) fault severity including BIST controller state, (2) address of fault, and (3) mask values, which determine stuck at 1, 0, or data good. This is fully the same as the entire function of the finite state machine being claimed in the applicant's specification on page 17, paragraph 1. And finally, Lu in column 6 lines 45-46 teaches that there is "...comparing the results of said RAM system model with said known fault data.". But the memory unit model lacks a de-scrambler. In the analogous art of Ohsawa, a scrambler and a de-scrambler are put to use in a memory test configuration, where data and address are scrambled and de-scrambled at the inputs and outputs of the memory device (see Ohsawa Abstract). The reference also states in column 2 lines 19-47 the advantage of the invention as solving the drawbacks of testing DRAMs containing address and data sensitive patterns by scrambling and de-scrambling the same during test. One with ordinary skill in the art at the time of the invention, motivated as suggested by Ohsawa,

Art Unit: 2133

would find it to be obvious to combine the two references in order to achieve the advantage stated, and so the claims are rejected.

As per Claim 8:

This claim is similarly rejected under the same premise and motivation as in Claims 1 and 13 above.

As per claims 2 and 9:

Lu teaches in column 3 lines 45-48 that the fault file comprises of a "...record for each of the addresses...". According to the Microsoft Computer Dictionary, 3rd edition, 1997, a "database" file is defined as "A file composed of records..." Therefore, Lu teaches that the fault file is a database file, as is claimed by the applicant, and in view of the motivation previously stated, the claims are rejected.

As per claims 3 and 10:

Lu teaches in column 2 lines 59-63 of his specification, "Modeling RAM behavior...can be performed by any type of modeling tool. However, a hardware modeling language such as VHDL currently provides the most efficient means of carrying out the invention." Therefore, Lu completely teaches the use of VHDL in the memory behavior model, and in view of the motivation previously stated, the claims are rejected.

As per claims 4 and 11:

Lu teaches in his column 5 lines 21-25 that the mask values (set of faults) in the fault database "...indicating bit faults..." in the form of "stuck" 1's or 0's. Therefore, Lu

Art Unit: 2133

teaches all of the points in the applicant's claims, and in view of the motivation previously stated, the claims are rejected..

As per Claims 6 and 18:

Lu substantially teaches a method/apparatus for verifying the effectiveness of a RAM BIST in Claims 1 and 13. What Lu does not specifically teach is the scrambling and descrambling of address and data to and from the memory behavior model. Ohsawa (see column 9 lines 45-67 and column 10 lines 1-3), in describing the scrambling and de-scrambling patent, teaches that the testing of DRAM memories may include these functions in order to more completely test and verify memory (column 2 lines 19-47). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Lu to include scrambling and descrambling in order to incorporate full memory testing to the extent that is taught by Oshawa. One would have been motivated as recited by Ohshawa in Claim 1 in order to scramble and unscramble memories such as are claimed, and so the claims are rejected.

As per claim 14:

Lu teaches in column 3 lines 45-48 that the fault file comprises of a "...record for each of the addresses...". According to the Microsoft Computer Dictionary, 3rd edition, 1997, a "database" file is defined as "A file composed of records...". Therefore, Lu teaches that the fault file is a database file, as is also claimed by the applicant. In view of the previously stated motivation, the claim is rejected.

As per claim 15:

Lu teaches in column 2 lines 59-63 of his specification, "Modeling RAM behavior...can be performed by any type of modeling tool. However, a hardware modeling language such as VHDL currently provides the most efficient means of carrying out the invention." Therefore, Lu teaches the use of VHDL in the memory behavior model, and in view of the previous motivation, the claim is rejected.

As per claim 16:

Lu teaches in column 6 lines 47-51 that the mask values (set of faults) in the fault database "...indicating bit faults..." in the form of "stuck" 1's or 0's. Therefore, Lu teaches all of the points in the applicant's claim 16, and in view of previous motivation, the claim is rejected.

3. Claims 5, 12, 17 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bo Lu, U.S. Patent No. 6012157, and in view of Takahashi Ohsawa, U.S. Patent No. 5748641 as applied to Claims 1, 8 and 13 above, and further in view SynTest Technologies, Inc., March 1999.

As per Claims 5, 12 and 17:

Lu teaches in his specification in column 4, lines 4 to 9 the execution by the BIST controller of a diagnostic program named "14N March". Lu fails to describe this diagnostic as "March C+" as the applicant specifies in the application. But, this diagnostic, 14N March, is defined and referred to also as "March C+" by SynTest Technologies (see "SynTest Enters the BIST Product", SynTest Technologies, Inc., March 1999, http://www.syntest.com/PressReleaseArchive/19990308_2.htm). And in the 2nd paragraph of that publication, the advantage is recited to be reducing the time

Art Unit: 2133

and effort required to design, test and develop embedded RAMs. Therefore, one with ordinary skill in the art at the time of the invention, motivated by SynTest as suggested, would apply the use of the diagnostic "March C+" in the specification above by Lu, and so the claims are rejected.

As per Claim 20:

Lu teaches all of the aspects of the applicant's Claim 13, but Lu however fails to specify the makeup of the BIST circuit model, which is specified by the applicant's claim 20 as being an RTL or gate level design. SynTest Technologies is a memory BIST Technology Company, and it conducts the business of modeling BIST's for clients. In their press release of March 1999, their BIST model was specified as being an "RTL product". Therefore, it would have been obvious to a person in the art at the time of the invention, that RTL modeling of BIST circuits is common in the marketplace. And motivated by SynTest as stated previously, it would have been the natural choice of one versed in the art at that time to include an RTL model in the design of a BIST controller in order offer to the public an "industry standard" design, and so the claim is rejected.

4. The examiner reiterates the actions above as follows:

Claims 7 and 19 are cancelled.

Claims 1-6, 8-18, and 20 stand rejected.

Conclusion

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John P Trimmings whose telephone number is 703-305-0714. The examiner can normally be reached on weekdays, 7:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert DeCady can be reached on 703-305-9595. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


John P Trimmings

Application/Control Number: 09/883,449

Page 11

Art Unit: 2133

Examiner
Art Unit 2133

jpt

Ascent Deady
SUPERVISOR PATENT EXAMINER
CENTER 2100